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Disclosure of Intent to Obtain Consumer Reports

from Genworth Life and Annuity Insurance Company, Genworth Life Insurance Company and Genworth Life Insurance Company of New York[†]

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Disclosure of Intent to Obtain Consumer Reports

Please review and print for your records the Disclosure of intent to obtain consumer reports.

This is to advise you that Genworth and its affiliates may obtain one or more consumer reports with respect to establishing your eligibility for employment, appointment, promotion, reassignment, and/or retention as an employee, agent and/or representative of Genworth, or one or more of its affiliates.

The purpose for which Genworth obtains the consumer reports are consistent with your role in the insurance business per 18 § USC 1033, your access to personal information of others, and/or your role in executing financial contracts on our behalf.

If requested, the report may be obtained from one of the consumer-reporting agencies named below or another consumer-reporting agency:

Business Information Group, Inc.

 P. O. Box 130
 2301 McGe

 Southampton, PA 18966
 Suite 800

 800 260.1680
 Kansas Cit

National Insurance Producer Registry 2301 McGee Street Suite 800 Kansas City, MO 64108-2662 816 783.8468

If a consumer report is obtained from Business Information Group, Inc. and you reside in a state with a legal requirement to provide a free copy of the consumer report upon request, please note that we have automatically instructed the Business Information Group, Inc. to send you a copy of the report at no charge.

Please note that you may download a free copy of your consumer report at any time from National Insurance Producer Registry ("NIPR") at: https://nipr.com/products-and-services/producer-database/get-report.

If a consumer report is obtained from NIPR and you reside in a state with a legal requirement to provide a free copy of the consumer report upon request, you may also check the box below and we will request NIPR to provide you with a free copy:

○ Please request a free copy of my consumer report from NIPR.

For any other consumer reporting agencies we may use, we will automatically instruct those consumer reporting agencies to provide you with a free copy of the report or provide you with a way to request a free copy of the report if you live in a state with a legal requirement to provide a free copy of the consumer report upon request.

The report may contain information regarding your character, general reputation, personal characteristics and mode of living. The nature and scope of the report is: financial and credit history, criminal records search, licensing and disciplinary action history, and employment history verification.

For California Resident Agents Only

Pursuant to the California Investigative Consumer Reporting Agencies Act, Genworth is required to provide you with the summary of provisions listed below.

California Investigative Consumer Reporting Agencies Act Summary of the Provisions of Section 1786.22

- (a) An investigative consumer reporting agency shall supply files and information required under Section 1786.10 during normal business hours and on reasonable notice.
- (b) Files maintained on a consumer shall be made available for the consumer's visual inspection, as follows:
 - 1. In person, if he appears in person and furnishes proper identification. A copy of his file shall also be available to the consumer for a fee not to exceed the actual costs of duplication services provided.

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- 2. By certified mail, if he makes a written request, with proper identification, for copies to be sent to a specified addressee. Investigative consumer reporting agencies complying with requests for certified mailings under this section shall not be liable for disclosures to third parties caused by mishandling of mail after such mailings leave the investigative consumer reporting agencies.
- 3. A summary of all information contained in files on a consumer and required to be provided by Section 1786.10 shall be provided by telephone, if the consumer has made a written request, with proper identification for telephone disclosure, and the toll charge, if any, for the telephone call is prepaid by or charged directly to the consumer.
- (c) The term "proper identification" as used in subdivision (b) shall mean that information generally deemed sufficient to identify a person. Such information includes documents such as a valid driver's license, social security account number, military identification card, and credit cards.Only if the consumer is unable to reasonably identify himself with the information described above, may an investigative consumer-reporting agency require additional information concerning the consumer's employment and personal or family history in order to verify his identity.
- (d) The investigative consumer reporting agency shall provide trained personnel to explain to the consumer any information furnished him pursuant to Section 1786.10.
- (e) The investigative consumer reporting agency shall provide a written explanation of any coded information contained in files maintained on a consumer. This written explanation shall be distributed whenever a file is provided to a consumer for visual inspection as required under Section 1786.22.
- (f) The consumer shall be permitted to be accompanied by one other person of his choosing, who shall furnish reasonable identification. An investigative consumer reporting agency may require the consumer to furnish a written statement granting permission to the consumer reporting agency to discuss the consumer's file in such person's presence.

Acknowledgment and Signature Signature is required below

Acknowledge that you have received and read the 'Disclosure of Intent to Obtain Consumer Reports' and consent and authorize Genworth and its affiliates to obtain additional background information, as we deem necessary, through independent investigation, FINRA CRD reports and/or through a consumer reporting agency's (consumer reporting agencies including but not limited to those identified in the 'Disclosure of Intent to Obtain Consumer Reports') consumer report (collectively, 'background reports').

Signature	Title Required if signing for entity	Date
X	•	•
Print name		
	κ	K