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# SecureLiving® Protection Plus Annuity Reallocation Request

from Genworth Life and Annuity Insurance Company

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### Instructions:

- Use this form to reallocate among the available Interest Crediting Strategies
- Please read and complete all sections that apply to your request

### 1. Contract information

Please provide all requested information.

Contract number	Telephone number	
• .....	• .....	
Owner name	Joint owner name	
• .....	• .....	
Address		
• .....		
City	State	Zip code
• .....	• .....	• .....

### 2. Important information

The contract owner is referred to as “you” and “your” in this form.

- Reallocations of Contract Values are permitted during the 21-day period following each Contract Anniversary.
- You may reallocate once during the 21-day period.
- Your reallocation request must be received during the 21-day period.
- You may not reallocate any additional Contract Value into the Multiple Year Fixed Rate Strategy.
- Reallocations of Contract Value will be subject to the terms and conditions in existence for the Interest Crediting Strategies which are available, including rates, caps, spreads and credits, which may differ from those of previously selected allocations.

### 3. Reallocation options

Please select only one of the available options.

All allocations must be made in whole percentages.

The Multiple Year Fixed Rate Strategy will be based on the 5 or 7 year guarantee term available at contract issue. No additional Contract Value may be allocated into this strategy.

All Interest Crediting Strategies may not be available at the time of reallocation. Please see your contract for Interest Crediting Strategy availability.

- 1) Reallocate all Contract Value except Contract Value allocated within a 2-year term strategy term, or a Multiple Year Fixed Rate Strategy. Reallocation selections must total 100% and must be made in whole percentages.

By selecting this option we will exclude any prior allocation made to the 2-Year Trigger Strategy until the term is complete.

Please indicate your reallocation percentages below.

.....% Annual Cap Strategy	.....% Annual CapMax Strategy
.....% 1 Year Fixed Rate Strategy	.....% Performance Triggered Strategy
.....% 2-Year Trigger Strategy	

**OR**

- 2) Reallocate all Contract Value into the following strategies as directed below. Please note that by selecting this option we will reallocate your entire Contract Value into the available Interest Crediting Strategy or Strategies that you select. You may not reallocate any additional Contract Value into a Multiple Year Fixed Rate Strategy, or the 2-Year Trigger Strategy until the 2-year term is complete.

.....% Annual Cap Strategy	.....% Performance Triggered Strategy
.....% 1 Year Fixed Rate Strategy	.....% Multiple Year Fixed Rate Strategy
.....% 2-Year Trigger Strategy	



**4. Declaration and signature(s)**

**!** If you are signing as a fiduciary or representative, **you must indicate capacity or title and provide documentation of authority** (see signing instructions below).

Other signatures that may be required include: **spouse** (if community property state), **irrevocable beneficiary** (must sign with title), and **collateral assignee** (if contract is collaterally assigned).

- Your signature indicates that you have read and understand all sections of this form
- You certify under penalty of perjury that the statements and answers given on this form are true, complete and correct to the best of your knowledge and belief. The penalty of perjury does not apply to income tax withholding.
- You declare that no bankruptcy proceedings are now pending against you and you are not subject to back-up withholding

**SIGN HERE** X

.....

**Owner Signature** **Date**

Capacity:  Trustee  Guardian  Attorney-in-fact POA

Title/Office:.....  Other:.....

**SIGN HERE** X

.....

**Joint Owner Signature** **Date**

Capacity:  Trustee  Guardian  Attorney-in-fact POA

Title/Office:.....  Other:.....

**SIGN HERE** X

.....

**Other Required Signature** *If applicable* **Date**

Capacity:  Trustee  Guardian  Attorney-in-fact POA

Irrevocable Beneficiary  Title/Office:.....

**5. Signing instructions**

**Attorney-in-Fact** The attorney-in-fact must indicate capacity as "Attorney-in-fact", provide a copy of the entire power of attorney document, if not previously submitted; and complete a Genworth Declaration of attorney-in-fact form, if the power of attorney was not signed in the past 12 months (e.g. John Doe, Attorney-in-Fact).

**Corporation or Limited Liability Corporation (LLC)** One officer of the company or managing member of the LLC must sign, indicate title and provide either a corporate or board of director's resolution, a copy of the Articles of Incorporation or operating agreement (for LLC's). If the signing officer or managing member is also the annuitant, a second officer or member must also sign (e.g. Jane Doe, President).

**Guardian** The guardian must indicate capacity and provide a copy of the current guardianship documents (e.g. John Doe, guardian).

**Partnership** All partners must sign with title, or the general or managing partner must sign with title. If the general or managing partner is also the annuitant, another partner must also sign, (e.g. John Doe, Senior Partner).

**Spouse** In community property states (AZ, CA, ID, LA, NV, NM, TX, WA, WI) failure to include a spouse's signature may delay processing at claims time.

**Trust** The trustee(s) must sign and indicate title as "trustee", according to the terms of the Trust Agreement, and submit a completed Genworth Certification of trustee powers form (e.g. Jane Doe, Trustee).

**6. Interest Crediting Strategy summary**

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<b>Annual Cap Strategy</b>	The Annual Cap Strategy is an Index Crediting Strategy that credits interest based on the percentage change of the Index during the Contract Year.
<b>2-Year Trigger Strategy</b>	The 2-Year Trigger Strategy is an Index Crediting Strategy that credits interest at the end of the 2-year term. The amount of interest credited will depend on the Index Value for each Contract Year during the 2-year term.
<b>Performance Triggered Strategy</b>	The Performance Triggered Strategy is an Index Crediting Strategy that credits interest annually only if the ending Index Value is greater than or equal to the starting Index Value.
<b>Fixed Rate Strategy</b>	The Fixed Rate Strategy is a Fixed Rate Crediting Strategy for which we will declare a Fixed Interest Rate for the Initial Guarantee Term and any Renewal Guarantee Term. We credit interest compounded daily at rates we declare periodically. All Interest Rates we declare are annual effective Interest Rates. No Interest Rate will be less than the Minimum Guaranteed Interest Rate.

**7. Form submission**

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Send completed form to:



**Regular mail:**  
P.O. Box 10716  
Lynchburg, VA 24506



Tel: 800 221.9501



**Overnight delivery:**  
3100 Albert Lankford Dr.  
Lynchburg, VA 24501-4996



Fax: 434 522.2904

**Email:**

FA-FIAfrontend@genworth.com