

genworth.com

# SecureLiving® Growth+ and Growth Advantage Annuity Reallocation Request

from Genworth Life and Annuity Insurance Company

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## **Instructions:**

- Use this form to reallocate among the available Interest Crediting Strategies
- Please read and complete all sections that apply to your request

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1	Contract	into	rmation

Please provide all requested information.	Contract number •	Telephone number •				
	Owner name  Address					
	City	State Z	Zip code			
2. Important information						
The contract owner is referred to as "you" and "your" in this form.	<ul> <li>Reallocations of Contract Values are permitted during the 21-day period following each Contract Anniversary.</li> <li>You may reallocate once during the 21-day period.</li> <li>Your reallocation request must be received during the 21-day period.</li> <li>Reallocations of Contract Value will be subject to the terms and conditions in existence for the Interest Crediting Strategies which are available, including rates, caps, spreads and credits, which may differ from those of previously selected allocations.</li> </ul>					
3. Reallocation options						
Please select only one of the available options.	<ul> <li>1) Reallocate all Contract Value except Contract Value allocated within a 2-year term strategy. Reallocation selections must total 100% and must be made in whole percentages.</li> </ul>					
All allocations must be made in whole percentages.	By selecting this option we will exclude any prior allocation made to either the 2-Year Trigger Strategy and/or the Volatility Control Spread Strategy until the term is complete.					
All Interest Crediting Strategies	Please indicate your reallocation percentages below.					
may not be available at the time	% Annual Cap Strategy	% Volatility Control Spre	ead Strategy			
of reallocation. Please see your contract for Interest Crediting	% Monthly Cap Strategy*		ed Strategy			
Strategy availability.	% 1 Year Fixed Rate Strategy	% 2-Year Trigger Strategy				
*The Monthly Cap Strategy is	OR					
not available for the annuity product Growth Advantage.	2) Reallocate all Contract Value into the following strategies as directed below. Please note that by selecting this option we will reallocate your entire Contract Value into the available Interest Crediting Strategy or Strategies that you select. You may not reallocate any additional Contract Value into a 2-year term strategy until the term is complete.					
	% Annual Cap Strategy	% Volatility Control Spre	ead Strategy			
	% Monthly Cap Strategy*% Performance Trigger		ed Strategy			
	% 1 Year Fixed Rate Strategy	% 2-Year Trigger Strategy				



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## 4. Declaration and signature(s)



If you are signing as a fiduciary or representative, you must indicate capacity or title and provide documentation of authority (see signing instructions below).

- Your signature indicates that you have read and understand all sections of this form
- You certify under penalty of perjury that the statements and answers given on this form are true, complete and correct to the best of your knowledge and belief. The penalty of perjury does not apply to income tax withholding.
- You declare that no bankruptcy proceedings are now pending against you and you are not subject to back-up withholding

Other signatures that may be required include: spouse (if community property state), irrevocable beneficiary (must sign with title),	SIGN X .						
and <b>collateral assignee</b> (if contract is collaterally assigned).	Owner S	gnature		<b>Date</b> ○ Attorney-in-fact POA			
	Supusity.						
				<u>.</u>	<u>.</u>		
			○ Guardian	Date  ○ Attorney-in-fact POA  ○ Other:			
	SIGN X HERE X	juired Signature		Date	<u>.</u>		
		-	○ Guardian				
5. Signing instructions		( e r e de d		O 1110, 0111001			
Attorney-in-Fact	of attorne attorney-i	The attorney-in-fact must indicate capacity as "Attorney-in-fact", provide a copy of the entire power of attorney document, if not previously submitted; and complete a Genworth Declaration of attorney-in-fact form, if the power of attorney was not signed in the past 12 months (e.g. John Doe, Attorney-in-Fact).					
Corporation or Limited Liability Corporation (LLC)	either a co agreemen	One officer of the company or managing member of the LLC must sign, indicate title and provide either a corporate or board of director's resolution, a copy of the Articles of Incorporation or operating agreement (for LLC's). If the signing officer or managing member is also the annuitant, a second officer or member must also sign (e.g. Jane Doe, President).					
Guardian		The guardian must indicate capacity and provide a copy of the current guardianship documents (e.g. John Doe, guardian).					
Partnership		All partners must sign with title, or the general or managing partner must sign with title. If the general or managing partner is also the annuitant, another partner must also sign, (e.g. John Doe, Senior					
Spouse		In community property states (AZ, CA, ID, LA, NV, NM, TX, WA, WI) failure to include a spouse's signature may delay processing at claims time.					
Trust	Agreemer	The trustee(s) must sign and indicate title as "trustee", according to the terms of the Trust Agreement, and submit a completed Genworth Certification of trustee powers form (e.g. Jane Doe, Trustee).					

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#### 6. Interest Crediting Strategy summary

**Annual Cap Strategy** 

The Annual Cap Strategy is an Index Crediting Strategy that credits interest based on the percentage change of the Index during the Contract Year.

Volatility Control Spread

Strategy

The Volatility Control Spread Strategy is an Index Crediting Strategy that credits interest based on the percentage change of the Index at the end of the 2-year term. The amount of interest credited is based on the index change of the Barclays US Low Volatility II ER Risk Controlled Index for the term, less an annual spread rate for each year of the term with the result then multiplied by a participation rate.

**Monthly Cap Strategy** 

The Monthly Cap Strategy is an Index Crediting Strategy that credits interest annually, based on the sum of Monthly Index changes (not available for Growth Advantage contracts).

2-Year Trigger Strategy

The 2-Year Trigger Strategy is an Index Crediting Strategy that credits interest at the end of the 2-year term. The amount of interest credited will depend on the Index Value for each Contract Year during the 2-year term.

Performance Trigger Strategy

The Performance Triggered Strategy is an Index Crediting Strategy that credits interest annually only if the ending Index Value is greater than or equal to the starting Index Value.

**Fixed Rate Strategy** 

The Fixed Rate Strategy is a Fixed Rate Crediting Strategy for which we will declare a Fixed Interest Rate for the Initial Guarantee Term and any Renewal Guarantee Term. We credit interest compounded daily at rates we declare periodically. All Interest Rates we declare are annual effective Interest Rates. No Interest Rate will be less than the Minimum Guaranteed Interest Rate.

#### 7. Form submission

Send completed form to:



**Regular mail:** P.O. Box 10716 Lynchburg, VA 24506



Tel: 800 221.9501



Overnight delivery: 3100 Albert Lankford Dr. Lynchburg, VA 24501



Fax: 434 522.2904

#### Fmail<sup>.</sup>

FA-FIAfrontend@genworth.com