



Minnesota Settlement Contract Questionnaire

from Genworth Life and Annuity Insurance Company,
 Genworth Life Insurance Company
 and Genworth Life Insurance Company of New York

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Policy information

Insured name *Print* Birthdate *(mm/dd/yyyy)*

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Owner name *Print*

Policy number

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Important information

Fraud Warning/Disclosure

Minnesota law says a fraudulent act includes presenting false material information, or concealing material information, in connection with this questionnaire. Please talk with your attorney if you have any questions.

Purpose of the Questionnaire

You have asked Us to change the owner(s) or the beneficiary(ies) of this policy. This questionnaire helps Us determine if those changes relate to a Settlement Contract.

Definition of Settlement Contract

The Genworth companies listed above are referred to as "Us" in this document.

The policyowner is referred to as "You" in this document.

A Settlement Contract is an agreement between You and another person. You agree to assign, transfer, sell, devise or bequeath all or part of Your interest in the policy or the policy death benefit. The other person agrees to pay to or give to You or someone else an amount (or something of value) that is less than the expected policy death benefit.

A Settlement Contract also includes a loan where You or the Insured are told You will receive money in the future when the policy is sold or You or the Insured agrees to sell the policy or any part of the death benefit.

If a trust or other entity owns the policy and the Insured lives in Minnesota, a Settlement Contract also includes such an agreement if the trust or other entity was formed or used primarily to acquire the policy.

1. Have you signed or agreed to sign a Settlement Contract? If Yes, include a copy of the contract and answer the questions below. If No, sign and return this form. ☐ Yes ☐ No

2. Other than the Settlement Contract, is there an agreement or understanding between You, the Insured, or any owner of a beneficial interest in the policy, and anyone else to guarantee any liability or to buy, or stand ready to buy, the policy or an interest therein, including through an assumption or forgiveness of a loan? Provide a copy of the agreement. If the agreement is not written, provide a written explanation of the agreement and when you made the agreement. ☐ Yes ☐ No

3. Has the Insured paid any of the premiums on this policy? ☐ Yes ☐ No
 a. Did the Insured borrow money to pay any premiums? ☐ Yes ☐ No
 If Yes, provide copies of all loan documents.

4. Did someone other than the Insured pay any premiums? ☐ Yes ☐ No
 a. Did that person or entity borrow money pay premiums? ☐ Yes ☐ No
 If Yes, provide copies of all loan documents.
 b. Is that person related to the Insured by blood or by law? ☐ Yes ☐ No
 If Yes, indicate the relationship:
 c. Does that person have a lawful and substantial economic interest in the continued life of the Insured? If Yes, explain that interest: ☐ Yes ☐ No

5. Was the Insured's life expectancy evaluated in the 18 months immediately prior to the date the policy was issued? "Life expectancy evaluation" means an evaluation conducted by any person other than the Insurer or its authorized representatives for the purposes of projecting or estimating how long a particular individual is expected to live. ☐ Yes ☐ No
 a. Were the results of that evaluation shared with or used by any person in determining the actual or potential value of the policy in the secondary market? ☐ Yes ☐ No

Signature

I certify that the answers I have given in this questionnaire are true and complete to the best of my knowledge and belief.

**SIGN
HERE** X

Owner Signature and Title (if applicable)

Date Signed